

**Summary of Internal Audit Work Undertaken 2015-16**

**Annex 2**

<b>Type</b>	<b>Audit Name</b>	<b>Final Report Issued (or position @ 12/7/16)</b>	<b>Audit Opinion</b>	<b>HI Rec</b>
GO	Business Strategy/Transformation - governance	Final 5/5/16	Substantial Assurance	No
GO	Information Management	Final 11/7/16	Substantial Assurance	No
GO	Governance Framework	Final 11/7/16	Substantial Assurance	No
GO	Annual Governance Statement 14/15	Completed	No opinion - consulting	No
GO	Annual Governance Statement 15/16	12/01/16	No opinion - consulting	No
GO	Transparency	Final 12/5/16	No opinion - consulting	No
GO	Management information	Final 12/7/16	Substantial Assurance	No
RM	Risk Management 15/16	Final 2/2/16	Substantial Assurance	No
RM	Public Contract Regulations - framework	Final 6/4/16	Substantial Assurance	No
RM	Business Strategy/Transformation - projects	Final 17/5/16	Substantial Assurance	No
RM	Customer Satisfaction	Final 11/7/16	Substantial Assurance	No
RM	Counter Fraud - NFI specific	Completed	No opinion - consulting	No
RM	Counter Fraud	Completed	No opinion - consulting	No
RM	ICT applications – Aurora upgrade	Stage 1 complete	No opinion - consulting	No
IC	Trading performance	Final 27/4/16	Full Assurance	No
IC	Servicing Authority	Final 1/3/16	Substantial Assurance	No
IC	Distribute Surplus - design model	Final 6/6/16	Substantial Assurance	No
IC	General Ledger Reconciliations	Final 27/4/16	Substantial Assurance	No
IC	BACS	Final 6/6/16	Substantial Assurance	No
IC	IT General Controls	Final 6/6/16	Substantial Assurance	No
IC	Rebates income	Final 11/7/16	Substantial Assurance	No

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